

PLANO DE ENSINO

DISCIPLINA	INTERNATIONAL FINANCE AND REGULATION								
DOCENTE	GABRIELA BORGES								
CÓDIGO	GRDDIRELE292	SEMESTRE	2024.1	PERÍODO	6°/10°	NATUREZA	ELETIVA	CARGA HORÁRIA	30H

EMENTA	Financial Regulation. Global Finance. Financial Institutions. Financial Markets. Market Failures. Regulatory Strategies. Financial Stability. International Finance. Financial Innovation. Digital Age Finance. Financial Crisis. Policy Analysis. Comparative Regulation. Financial Architecture. Consumer Protection. Fraud Prevention. Money Laundering. Terrorism Financing. Financial Globalization. Law and Finance Paradigm. Market Oversight.								
OBJETIVOS	This course, "International Finance and Regulation," is designed to provide students with a comprehensive understanding of the principles, challenges, and dynamics of financial regulation in the global context. Through a set of preparatory and required readings from leading experts and academics such as John Armour, Gary Gorton, Scott Hal S., Anna Gelper, Michael S. Barr, Howell E. Jackson, Margaret E. Tahyar, and Katharina Pistor, students will explore the foundational aspects of financial systems, market failures, the goals and strategies of financial regulation, the structure of financial oversight, and the evolving challenges faced by regulators in an increasingly interconnected world. By the end of the course, students will have gained a comprehensive understanding of financial regulation's complexities and nuances, enabling them to engage critically with this vital field of study and practice.								
METODOLOGIA	Análise da doutrina e da jurisprudência com base em casos práticos, com a participação efetiva dos alunos para aquisição do raciocínio jurídico necessário para lidar com o direito das empresas em dificuldades.								
HABILIDADES Exigência MEC CNE/CES nº 5, 18 de dezembro de 2018		Interpretar/aplicar as normas (princípios e regras) do sistema jurídico nacional, observando a experiência estrangeira comparada, quando couber, articulando o conhecimento teórico com a resolução de problemas.							
		Demonstrar competência na leitura, compreensão e elaboração de textos, atos e documentos jurídicos, de caráter negocial, processual ou normativo, bem como a devida utilização das normas técnico-jurídicas.							
	X	Demonstrar capacidade para comunicar-se com precisão.							
		Dominar instrumentos da metodologia jurídica, sendo capaz de compreender e aplicar conceitos, estruturas e racionalidades fundamentais ao exercício do Direito.							
	X	Adquirir capacidade para desenvolver técnicas de raciocínio e de argumentação jurídicas com objetivo de propor soluções e decidir questões no âmbito do Direito.							
	X	Desenvolver a cultura do diálogo e o uso de meios consensuais de solução de conflitos.							
	X	Compreender a hermenêutica e os métodos interpretativos, com a necessária capacidade de pesquisa e de utilização da legislação, da jurisprudência, da doutrina e de outras fontes do Direito.							
		Ter competências para atuar em diferentes instâncias extrajudiciais, administrativas ou judiciais, com a devida utilização de processos, atos e procedimentos.							
		Utilizar corretamente a terminologia e as categorias jurídicas.							
	X	Aceitar a diversidade e o pluralismo cultural.							
	X	Compreender o impacto da inteligência artificial e das novas tecnologias na área jurídica.							
		Possuir o domínio de tecnologias e métodos para permanente compreensão e aplicação do Direito.							
X	Desenvolver a capacidade de trabalhar em grupos formados por profissionais do Direito ou de caráter interdisciplinar.								
	Apreender conceitos deontológico-profissionais e desenvolver perspectivas transversais sobre direitos humanos.								
	Outras:								

CONTEÚDO PROGRAMÁTICO

AULA	TEMA
# 1 – 19/02/2024	<p>Intro Debate: Course Overview</p> <p>Note: You're expected to finish all the required readings before each class, ensuring you're ready to engage in class discussions. You may encounter technical materials or finance-related terminology in some readings. For those who may not have a foundational understanding of finance, Investopedia is a valuable tool to assist you. Moreover, I recommend regularly reviewing the business sections of publications such as the New York Times, Wall Street Journal, or Financial Times.</p> <p>Preparatory Readings:</p> <p>ARMOUR, John, et al. Principles of financial regulation. Oxford University Press, 2016. Chapter 1 (p. 3-10)</p> <p>WSJ, 10 Years After The Crisis (March 27, 2018)</p> <p>GORTON, Gary B. Questions and answers about the financial crisis. National Bureau of Economic Research, 2010.</p>

# 2 – 26/02/2024	<p>The Costs and Benefits of Financial Globalization</p> <p><i>Required readings:</i></p> <p>SCOTT HAL, S.; ANNA, Gelpern. International Finance: Transaction, Policy, and Regulation. Foundation Press, New York, 2011 (p. 44 – 51)</p>
# 3 – 04/03/2024	<p>What is the financial system: financial institutions and financial markets</p> <p><i>Required readings:</i></p> <p>ARMOUR, John et al. Principles of financial regulation. Oxford University Press, 2016. Chapter 2</p>
# 4 – 11/03/2024	<p>Market Failures and Financial Markets</p> <p>ARMOUR, John et al. Principles of financial regulation. Oxford University Press, 2016. Chapter 3, p. 51-61.</p>
# 5 – 18/03/2024	<p>Goals of Financial Regulation</p> <p><i>Required readings:</i></p> <p>ARMOUR, John et al. Principles of financial regulation. Oxford University Press, 2016. Chapter 3, p. 61-72.</p> <p>SCOTT HAL, S.; ANNA, Gelpern. International Finance: Transaction, Policy, and Regulation. Foundation Press, New York, 2011, p. 8-12.</p>
# 6 – 25/03/2024	<p>Regulatory Strategies of Financial Regulation</p> <p><i>Required readings:</i></p> <p>ARMOUR, John et al. Principles of financial regulation. Oxford University Press, 2016. Chapter 4.</p>
# 7 – 08/04/2024	<p>The Structure of Financial Regulation</p> <p><i>Required readings:</i></p> <p>SCOTT HAL, S.; ANNA, Gelpern. International Finance: Transaction, Policy, and Regulation. Foundation Press, New York, 2011, p.10-25.</p>
15/04/2024	No class due to evaluations (P2)
# 8 – 29/04/2024	<p>The International Financial Architecture</p> <p>SCOTT HAL, S.; ANNA, Gelpern. International Finance: Transaction, Policy, and Regulation. Foundation Press, New York, 2011, p. 100-108.</p> <p>BARR, Michael S. Who's in Charge of Global Finance. Geo. J. Int'l L., v. 45, p. 971, 2013.</p>
# 9 – 06/05/2024	<p>A Comparative Approach from the U.S. Financial Market</p> <p><i>Required readings:</i></p> <p>SCOTT HAL, S.; ANNA, Gelpern. International Finance: Transaction, Policy, and Regulation. Foundation Press, New York, 2011, p. 83-92.</p>
# 10 – 13/05/2024	New Challenges in International Finance: Fraud, Terrorism, Money Laundering

		BARR, Michael S.; JACKSON, Howell E.; TAHYAR, Margaret E. Financial regulation: law and policy. 2016 (Chapter 21).
# 11 – 20/05/2024		New Challenges in International Finance: Financial Innovation in the Digital Age BARR, Michael S.; JACKSON, Howell E.; TAHYAR, Margaret E. Financial regulation: law and policy. 2016. (Chapter 22)
# 12 – 27/05/2024		Law & Finance Paradigm PISTOR, Katharina. Rethinking the law and finance paradigm. BYU L. Rev. , p. 1647, 2009.
# 13 – 03/06/2024		Paper presentations
# 14 - 10/06/2024		Paper presentations
Aula 15 - 18/06/2024		No class due to evaluations (P2)
TRILHA	X	Advocacia Empresarial
		Carreiras Públicas
	X	Regulação
		Justiça e Sociedade
		Tecnologias
CRITÉRIOS DE AVALIAÇÃO		<p>The final grade for the course will be broken down as follows:</p> <ul style="list-style-type: none"> - 50% upon the quality of your final paper - 20% upon the quality of your class participation - 20% upon the quality of short reaction papers and discussion questions before classes - 10% upon the quality of your presentation of your paper. <p>Please follow the updated timeline and instructions for your paper submission:</p> <p>For P1 Grading:</p> <ul style="list-style-type: none"> • By March 8: Submit a list of three topics you are considering for your paper. We will schedule a time to discuss these ideas further to help you refine your choice. • By March 29: Provide a detailed summary and outline of the paper you plan to write, ranging between 500 to 750 words. This should include a preliminary bibliography with at least 10 sources. This step is crucial for laying a strong research and argument structure foundation. • By April 19: Submit a draft of your paper, which should be between 8 to 10 pages, to me directly. Since the start of this assignment on October 3, you should have added at least 7 more sources to your research. Please ensure your draft includes your thesis statement and a table of contents to outline the structure of your paper. <p>For P2 Grading:</p> <ul style="list-style-type: none"> • By May 20: The final version of your paper is due by 11:59 pm. Please email your completed paper to me. Ensure that your final submission is polished, incorporates any feedback received, and adheres to the guidelines provided throughout the course.
BIBLIOGRAFIA BÁSICA		<p>ARMOUR, John et al. Principles of financial regulation. Oxford University Press, 2016.</p> <p>SCOTT HAL, S.; ANNA, Gelpern. International Finance: Transaction, Policy, and Regulation. Foundation Press, New York, 2011.</p> <p>BARR, Michael S.; JACKSON, Howell E.; TAHYAR, Margaret E. Financial regulation: law and policy. (No Title), 2016.</p>
BIBLIOGRAFIA COMPLEMENTAR		<p>BARR, Michael S. Who's in Charge of Global Finance. Geo. J. Int'l L., v. 45, p. 971, 2013.</p> <p>PISTOR, Katharina. Rethinking the law and finance paradigm. BYU L. Rev., p. 1647, 2009.</p> <p>WSJ, 10 Years After The Crisis (March 27, 2018)</p> <p>GORTON, Gary B. Questions and answers about the financial crisis. National Bureau of Economic Research, 2010.</p>