**DISCIPLINA ELETIVA:** THEORY OF REGULATION  
**CÓDIGO:** GRDDIRELE0197

**PROFESSOR:** EDUARDO FERREIRA JORDÃO  
**CARGA HORÁRIA:** 60h

**EMENTA**

**OBJETIVO GERAL**
Understand the variable meanings and different theoretical foundations of regulation, being able to criticize approaches, in articles and textbooks, that do not clearly set their regulation definition and fundamentals.

**OBJETIVO ESPECÍFICO**
Identify the main advantages and problems on each regulatory strategy studied. Properly design and criticize regulatory rules, standards, principles and proceedings as related to the regulatory goals and theoretical foundations.

**METODOLOGIA**
Classes will be mainly expositive and participative in a dialectical approach. When possible, the class will be divided in discussion groups to find solutions to particular regulatory problems, ori-ented by the professor.

**PROGRAMA**

**PART 1: INTRODUCTION TO REGULATION**
Class 01: Introduction to the Course  
Class 02: Concepts of Regulation  
Class 03: Reasons to Regulate (Economic and non-economic reasons to regulate)  
Class 03: Reasons to Regulate (Economic and non-economic reasons to regulate)  
Class 05: Explaining Regulation (Public Interest and Public Choice)  
Class 06: Explaining Regulation 2 (Institutional, Network and Cultural Theories)  
Class 07: Regulatory Failure and Cost-Benefit Analysis  
Class 08: Revision of theoretical concepts and case studies

**PART 2: REGULATORY STRATEGIES**
Class 09: Strategies 1: Command and Control, Incentive-based Regimes and Market Harnessing  
Class 10: Strategies 2: Disclosure Regulation, Rights and Liabilities and Compensation Schemes  
Class 12: Strategies 3: Nudging and choice architecture
Class 12: Regulatory Alternatives: A Case
Class 13: Designing Regulation that Works: Rules, Standards and Principles
Class 14: Revision

---- EXAM PERIOD AND BREAK ----

PART 3: THE STRATEGIES IN ACTION
Class 15: When regulation doesn’t work: unintended consequences and responsiveness issues
Class 16: Enforcement
Class 17: Responsive Regulation

PART 4: ISSUES ON REGIME DESIGN
Classes 18 and 19: Self-regulation, meta-regulation and experimentalism
Class 20: Transnational regulation and global governance

PART 5: REGULATING IN THE DARK
Class 21: Regulating Risks
Class 22: Regulation and Innovation

PART 6: REGULATING NETWORKS
Class 22: Public utilities regulation: an overview
Class 23: Regulating prices
Class 24: Can competition survive here? Unbundling and network neutrality

---- EXAM PERIOD ----

CRITÉRIOS DE AVALIAÇÃO
1 – Class participation, assignments and group activities (40%)
2 – Final paper (60%)

BIBLIOGRAFIA OBRIGATÓRIA

**BIBLIOGRAFIA COMPLEMENTAR**